



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT LATIONS

Art Unit:

1623

Examiner: Unknown

Applicants:

Nico RACZEK

Application No.

10/057,107

Filed:

January 25, 2002

Title:

"Sorbic acid product comprising probiotics as addition to

feedstuffs in agricultural livestock rearing"

Honorable Commissioner for Patents Washington, DC 20231

Charlotte, NC June 7, 2002

Dear Sir:

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR §§ 1.56 and 1.97 et seq., Applicant hereby submits the attached Form PTO/SB/08A and PTO/SB/08B and the references listed thereon. The relevancy of each reference is disclosed in the attached European Search Report.

Applicant respectfully requests that each reference be reviewed and made of reference in the instant application.

Respectfully submitted,

U. Schan

Klaus Schweitzer

See attached Limited Liability Recognition Form

ProPat, L.L.C.

2912 Crosby Road

Charlotte, North Carolina 28211-2815

Telephone (704) 365-4881

Attorney's Docket No. 01/018 NUT

| | PTO/SB/08A | | | | Complete if Known | | • |
|-----------------|--|----|------------|------------------------|-------------------|---|----------|
| • | Substitute for Form 1449A/PTO | | | Application Number | 10/057,107 | - | |
| STATEMENT BY AP | | | DISCLOSURE | Filing Date | January 25, 2002 | ======================================= | <u>_</u> |
| | | | | First Named Inventor | Nico N. RACZEK | E | Z |
| JUN 1 3 20002 W | | | | Group Art Unit | 1623 | H | <u> </u> |
| | THE STATE OF THE S | | | Examiner Name | Unknown | 16 | \$ 20 |
| PATENT & TO | Sheet 1 | Of | 1 | Attorney Docket Number | 01/018 NUT | 0/2 | 02 |
| | | | | | | 90 | |

| | U.S. PATENT DOCUMENTS | | | | | | |
|--------------------|-----------------------|------------------------|--------------|---|--|--|--|
| Examiner Initials' | Cite No. | U. S. Patent Number | Kind Code | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | |
| | | 5,066,498 | | McCauley, III | 11/19/1991 | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| | <u> </u> | | | FOREIGN PA | TENT DOCUMENTS | | | - |
|-----------------------|-------------|--------|-----------------------------|----------------|---|--|---|----|
| Examiner Initials' | Cite No. | Office | Foreign Patent Docur Number | ment Kind Code | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T2 |
| | | WO | 01/91574 | A2 | Novus Int. Inc. | 12/06/2001 | | X |
| | | WO | 01/54514 | A1 | Aqua Solution Inc. | 08/02/2001 | | X |
| | | EP | 0 286 033 | A2 | Socop Nahrungsmittel | 10/12/1988 | | |
| | | | | | | | | |
| | - | | | | | | | |
| | | | | | | | | |
| Examiner Signature | | | | | DATE CONSIDERED | | | |

JUN 1 3 2002

Flease type a plus sign (+) inside this box ->

PTO/SB/21 (03-00)
Approved for use through 10/31/2002, CMB 0651-0021
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Uniter the Paperwork Reduction Act of 1995, no persons are required to respond to a adjection of information unless it displays a valid CMB control number.

TRANSMITTAL **FORM**

(to be used for all correspondence after initial fling)

10/057,107 **Application Number** E E Filing Date Jan. 25, 2002 Nico Raczek First Named Inventor **Group Art Unit** 1623 unknown **Examiner Name** Attorney Docket Number 01/018 NUT

Total Number of Pages in This Submission

| ENCLOSURES (check all that apply) | | | | | | | |
|--|--|--|---|--|--|--|--|
| Fee Transınttal Form | | Assignment Papers (for an Application) | After Allowance Communication to Group | | | | |
| Fee Altached | | Drawing(s) | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / Reply | | Licensing-related Papers | Appeal Communication to Group (Appeal Money, East, Reply Brief) | | | | |
| After Final | | Patition | Proprietary Information | | | | |
| Athidavits/decla | ration(s) | Petition to Convert to a Provisional Application | Status Letter | | | | |
| Extension of Time Req | µest | Power of Allorney, Revocation Change of Correspondence Address | Other Enclosure(s) (please toentify below): | | | | |
| Express Abandonment | l Request | Terminal Disclaimer | | | | | |
| | 11040000 | Request for Refund | | | | | |
| Information Disclosure | Statement | CD, Number of CD(s) | | | | | |
| Certified Copy of Priori Document(s) | Certified Copy of Priority Document(s) | | Remarks | | | | |
| Response to Missing Pi Incomplete Application | | Return Receipt post card | | | | | |
| Response to Mis | | Limited Recognition form | | | | | |
| under 37 CFR 1.52 or 1.53 | | Copy of European Search Report | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | |
| Firm | | | | | | | |
| or Individual name | Klaus Sch | weitzer, ProPat LLC | | | | | |
| Signalure U. Sch. | | | | | | | |
| Date U. Schale U. Sc | | | | | | | |
| CERTIFICATE OF MAILING | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 6-7-02 | | | | | | | |
| Typed or printed name | Claire N | I. Wygand | | | | | |
| Signature | Claire | Wygand | Date June 7 2002 | | | | |

Builden Hour Statement: This form is estimated to take 0.2 hours/to/complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Öfficer, U.S. Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATES PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Klaus Schweitzer is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of ProPat, L.L.C. to prepare and prosecute U.S. patent applications wherein the applicant is the client of ProPat, L.L.C., and the attorney of record in the applications is Mr. Gregory Clements (Reg. No. 30713). This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Klaus Schweitzer ceases to lawfully reside in the United States, (ii) Klaus Schweitzer's employment with ProPat, L.L.C. ceases or is terminated, or (iii) Klaus Schweitzer ceases to remain or reside in the United States on an E2 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 5, 2002

Harry I. Moatz

Director of Enrollment and Discipline